Local city report

Rouen

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Summary

The specific health impact assessment for Rouen found that a significant health gain would be achieved by lowering the PM concentrations. The compliance with the WHO-AQG for PM10 (20µg/m³) would induce a benefits on mortality and hospital admissions (5 deaths and 21 hospital admissions and avoided per year) in the study area of Rouen. The associated monetary gain would be of more than € 500 000.

Lowering PM2.5 would have a higher impact. Compliance with the WHO-AQG of 10 µg/m³ would postpone 111 deaths, corresponding to a gain in life expectancy of 0.4 years per inhabitants. Considering the reduced mortality, the associated monetary gain would exceed € 180 million.

In addition, the Aphekom project was able to show that living near streets and roads carrying heavy traffic may have serious health effects, particularly on the development of chronic diseases. Aphekom investigated the effects of EU legislation to reduce the sulphur content of fuels (mainly diesel oil used by diesel vehicles, shipping and home heating) showing in 20 cities not only a marked, sustained reduction in ambient SO2 levels but also the resulting prevention of some 2,200 premature deaths valued at €192 million.

Together these findings show that policies aiming at reducing air pollution would be associated with a significant improvement in the health status and quality of life of European citizens.

The results from the present HIAs may help promoting measures aiming at reducing air pollutant emissions, especially traffic linked emissions, as health benefits are a powerful way of motivating changes in individuals comportments. In the district of Haute-Normandie, reduce air pollution and health effects are of great concern. Many plan local plans (plan to protect the atmosphere) or regional (second environmental health regional Plan) recommended actions to reduce air pollution: the objective is to implement actions helping to reduce and to control the exposures of air pollutant having a high impact on the health. The results of Aphekom would be an important information source for this plan in the area.

Acronyms

Aphekom: Improving Knowledge and Communication for Decision Making on Air Pollution and Health in Europe

HIA: health impact assessment

O3: ozone

PM10: particulate matter with an aerodynamic diameter <10 µm

PM2.5: particulate matter with an aerodynamic diameter <2.5 µm
Introduction

Much has been done in recent years in European cities to reduce air pollution and its harmful effects on health. Yet gaps remain in stakeholders' knowledge and understanding of this continuing threat that hamper the planning and implementation of measures to protect public health more effectively.

Sixty Aphekom scientists have therefore worked for nearly 3 years in 25 cities across Europe to provide new information and tools that enable decision makers to set more effective European, national and local policies; health professionals to better advise vulnerable individuals; and all individuals to better protect their health.

Ultimately, through this work the Aphekom project hopes to contribute to reducing both air pollution and its impact on health and well being across European cities.

Section 1. Standardised HIA in 25 Aphekom cities

Health impact assessments have been used to analyze the impact of improving air quality on a given population’s health. Using standardised HIA methods, the preceding Apheis project (1) (www.apheis.org) showed that large health benefits could be obtained by reducing PM levels in 26 European cities totalling more than 40 million inhabitants (2;3). Apheis thus confirmed that, despite reductions in air pollution since the 1990s, the public health burden of air pollution remains of concern in Europe.

In 2002, the Apheis project found that in Rouen, 37 deaths per year could be avoided by reducing PM2.5 levels to 15 µg/m³. Reducing PM10 levels to 20 µg/m³ would avoid 8 deaths, 32 hospitalizations for respiratory and cardiac hospital admissions per year. In 2009, Enhis project (Environment and Health Information System) further found that reducing PM10 daily mean values to 20µg/m³ would prevent 5 hospital respiratory admissions of children under 15 years old. Each reduction by10 µg/m³ of the daily maximum 8-hour moving average ozone concentrations would delay 5.4 deaths per year in the general population in the study area, 2.5 from cardiovascular diseases, and 1.1 from respiratory causes. In terms of hospital admissions, this would represent 0.5 respiratory admissions in the adult (15-64 years old) population and 2.6 in the population over 64 years.

Building on the experience gained in the earlier Apheis and Enhis projects, Aphekom conducted a standardised HIA of urban air pollution in the 25 Aphekom cities totalling nearly 39 million inhabitants: Athens, Barcelona, Bilbao, Bordeaux, Brussels, Bucharest, Budapest, Dublin, Granada, Le Havre, Lille, Ljubljana, London, Lyon, Malaga, Marseille, Paris, Rome, Rouen, Seville, Stockholm, Strasbourg, Toulouse, Valencia and Vienna. In each participating centre, the project analysed the short-term impacts of ozone and PM10 on mortality and morbidity, as well as the long-term impacts of PM2.5 on mortality and life expectancy in populations 30 years of age and older.

This work shows that a decrease to 10 µg/m³ of long-term exposure to PM2.5 fine particles (WHO’s annual air-quality guideline) could add up to 22 months of life expectancy for persons 30 years of age and older, depending on the city and its average level of PM2.5.

Hence, exceeding the WHO air-quality guideline on PM2.5 leads to a burden on mortality of nearly 19,000 deaths per annum, more than 15,000 of which are caused by cardiovascular diseases.

Aphekom also determined that the monetary health benefits from complying with the WHO guideline would total some €31.5 billion annually, including savings on health expenditures, absenteeism and intangible costs such as well being, life expectancy and quality of life.
1.1. Description of the study area for Rouen

The Aphekom project has defined the study area so that data from local air-quality monitoring can provide a good estimate of the average exposure of the population in the study area, taking into account local land use, daily commuting and meteorology.

The study area of Rouen is located 120 km to the North-West of Paris and covers an area of 356km². The study area includes all urban units of Rouen and a part of Elbeuf urban unit. The study area constitutes 43 municipalities.
Climatology

Rouen is situated in a basin surrounded by hills that, added to weak winds and/or thermal inversion phenomena, limits the dispersion of the air pollutants. There are mainly southwest dominant winds. The morning fogs are rather frequent. The minimum and the maximum temperature averages were respectively 6.8°C and 14.3°C, in 2001. These temperatures were closed to that realised over 30 years.

Population in the study area

In 2006, the population of this area was 446 382 inhabitants (15.7% of 65 years +).

Commuting

Approximatively, 82% of employed of the urban unit of Rouen remained there for work.

1.2. Sources of air pollution and exposure data

Sources

The city has a large industrial area along the Seine: petrochemistry and chemistry. Main sources of pollution are industry and traffic. Industrial emissions, like SO₂, show a trend toward a decrease over the last years, but levels of pollutants near industries, are above limit values. PM₁₀ levels are stable and ozone levels vary from one year to the next according to the sunning.
Table 1 — Main sources of air pollution (% t/year)(Source Air Normand inventory, 2009)

<table>
<thead>
<tr>
<th>Pollutant</th>
<th>Shaper industry</th>
<th>Production/conversion/energy distribution</th>
<th>Residential/tertiary sector</th>
<th>Road transport</th>
<th>Maritime transport</th>
<th>Farming</th>
<th>Waste management</th>
</tr>
</thead>
<tbody>
<tr>
<td>SO2</td>
<td>4.07%</td>
<td>76.25%</td>
<td>2.93%</td>
<td>0.20%</td>
<td>5.45%</td>
<td>0.14%</td>
<td>10.96%</td>
</tr>
<tr>
<td>NOx</td>
<td>31.79%</td>
<td>22.13%</td>
<td>8.71%</td>
<td>28.94%</td>
<td>6.78%</td>
<td>0.94%</td>
<td>0.70%</td>
</tr>
<tr>
<td>PM10</td>
<td>21.75%</td>
<td>17.99%</td>
<td>31.69%</td>
<td>20.42%</td>
<td>2.52%</td>
<td>5.28%</td>
<td>0.35%</td>
</tr>
</tbody>
</table>

Exposure data

A permanent automated air pollution network (Air Normand) provides air pollution data. Over the study period (2004-2006), Ozone is measured in 5 stations (2 urban and 3 Suburban Monitors) by UV adsorption method. PM10 and PM2.5 are measured by automatic analyzer TEOM (Tapered Element Oscillating Microbalance) in 2 stations.

After consultation of the reference laboratory in France for methods of measuring PM10 and PM2.5, we used two correction factors for respectively short and long term HIA calculations:
- In winter (increased levels of PM): 1.22
- In summer (moderate levels of PM): 1

These factors were based on comparative locally measurements between gravimetric and TEOM methods.

Corrected PM10 and PM2.5 annual mean have been calculated as the arithmetic mean of the annual concentrations of the three urban stations.

The daily maximum ozone 8-hours concentrations have been calculated as the arithmetic mean of the maximum 8-hour moving averages of the stations.

Corrected PM10 annual mean were below the limit value for 2005 (40µg/m³), but higher than WHO value (20µg/m³). The daily maximum 8-hour moving average has been higher than 100µg/m³ during 86 days between 2004 and 2006.

Daily 8-hour maximum ozone levels show a large variability between winters and summer (figure 3), while daily corrected PM10 and PM2.5 levels show a smaller variability (figures 4 and 5).

Table 2 — Daily mean levels, standard deviation and 5th and 95th percentiles for air pollutants (2004-2006)

<table>
<thead>
<tr>
<th>Pollutant</th>
<th>Daily mean (µg/m³)</th>
<th>Standard deviation (µg/m³)</th>
<th>5th percentile (µg/m³)</th>
<th>95th percentile (µg/m³)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ozone (daily 8h max)</td>
<td>62</td>
<td>28</td>
<td>16</td>
<td>111</td>
</tr>
<tr>
<td>PM10 corrected (daily average)</td>
<td>23</td>
<td>10</td>
<td>12</td>
<td>40</td>
</tr>
<tr>
<td>PM2.5 corrected (daily average)</td>
<td>15</td>
<td>8</td>
<td>7</td>
<td>31</td>
</tr>
</tbody>
</table>
Figure 3 – Ozone concentration in the study area

Figure 4 – PM10 concentration in the study area
1.3. Health data

- Mortality data were provided by the Information Department specialised in mortality data (CepiDC) at the National Health and Medical Research Institute (INSERM) for years 2004 to 2006. There are no missing data, and a quality control program is applied. Death causes for years were coded according to ICD-10.

- Hospital admissions data for cardiovascular and respiratory diseases were extracted by the French Institute of Public Health (InVS) from the hospital information system PMSI (Programme de médicalisation des systèmes d’information) for public and private hospitals in Rouen. Respiratory, Cardiac and diseases are coded with ICD10 and available for years 2004 to 2006.

The number of deaths in the general population (non-external mortality) was 3 512 (annual rate 787 per 100,000).
Table 3 – Annual mean number and annual rate of deaths and hospitalizations per 100 000 inhabitants (2004-2006)

<table>
<thead>
<tr>
<th>Health outcome</th>
<th>ICD9</th>
<th>ICD10</th>
<th>Age</th>
<th>Annual mean number</th>
<th>Annual rate per 100 000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-external mortality*</td>
<td>&lt; 800</td>
<td>A00-R99</td>
<td>All</td>
<td>3 512</td>
<td>787</td>
</tr>
<tr>
<td>Total (including external mortality)</td>
<td>000-999</td>
<td>A00-Y98</td>
<td>&gt; 30</td>
<td>3 690</td>
<td>1 408</td>
</tr>
<tr>
<td>Cardiovascular mortality</td>
<td>390-429</td>
<td>I00-I52</td>
<td>&gt; 30</td>
<td>1 029</td>
<td>393</td>
</tr>
<tr>
<td>Cardiac hospitalizations</td>
<td>390-429</td>
<td>I00-I52</td>
<td>All</td>
<td>5 172</td>
<td>1 159</td>
</tr>
<tr>
<td>Respiratory hospitalizations</td>
<td>460-519</td>
<td>J00-J99</td>
<td>All</td>
<td>4 330</td>
<td>970</td>
</tr>
<tr>
<td>Respiratory hospitalizations</td>
<td>460-519</td>
<td>J00-J99</td>
<td>15-64 yrs</td>
<td>1 252</td>
<td>280</td>
</tr>
<tr>
<td>Respiratory hospitalizations</td>
<td>460-519</td>
<td>J00-J99</td>
<td>≥ 65 yrs</td>
<td>1 581</td>
<td>354</td>
</tr>
</tbody>
</table>

* Non-external mortality excludes violent deaths such as injuries, suicides, homicides, or accidents.

1.4. Health impact assessment

Aphekom chose different scenarios to evaluate the health impacts of short- and long-term exposure to air pollution. The scenarios are detailed below for each air pollutant.

NOTE: Under no circumstances should HIA findings for the different air pollutants be added together because the chosen air pollutants all represent the same urban air pollution mixture and because their estimated health impacts may overlap.

The HIA method is detailed in Annex 1 and HIA tools are provided in [http://si.easp.es/aphekom](http://si.easp.es/aphekom).

![Figure 6 – Principles of local health impact assessment (HIA)](image)

Current (2004-06) air pollution levels, e.g. [PM$_{2.5}$]

Current (2004-06) health outcomes, e.g. mortality

Air pollution change for two types of scenarios
- decrease by a fixed amount, e.g. [PM$_{2.5}$] - 5 µg/m$^3$
- decrease to the WHO air quality guidelines (WHO-AQG), e.g. [PM$_{2.5}$] = 10 µg/m$^3$

Concentration-response function = % change in health outcome per unit change in pollutant levels

Impact = change in health outcome associated with the change in pollutant levels
1.4.1. Short-term impacts of PM10

For PM10, we first considered a scenario where the annual mean of PM10 is decreased by 5 µg/m³, and then a scenario where the PM10 annual mean is decreased to 20 µg/m³, the WHO annual air quality guideline (WHO-AQG).

Decreasing PM10 annual mean by 5 µg/m³ would postpone 10 deaths per year, 24 hospitalizations for respiratory diseases and 15 hospitalizations for cardiac diseases. Decreasing the annual mean to 20 µg/m³ would postpone 5 deaths per year, 13 hospitalizations for respiratory diseases and 8 hospitalizations for cardiac diseases.

Table 4 – Potential benefits of reducing annual PM10 levels on total non-external* mortality

<table>
<thead>
<tr>
<th>Scenarios</th>
<th>Total annual number of deaths postponed</th>
<th>Annual number of deaths postponed per 100 000 inhabitants</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decrease by 5 µg/m³</td>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td>Decrease to 20 µg/m³</td>
<td>5</td>
<td>1</td>
</tr>
</tbody>
</table>

* Non-external mortality excludes violent deaths such as injuries, suicides, homicides, or accidents.

Table 5 – Potential benefits of reducing annual PM10 levels on hospitalizations

<table>
<thead>
<tr>
<th>Scenarios</th>
<th>Respiratory hospitalizations</th>
<th>Cardiac hospitalizations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total annual number of cases postponed</td>
<td>Annual number of cases postponed per 100 000 inhabitants</td>
</tr>
<tr>
<td>Decrease by 5 µg/m³</td>
<td>24</td>
<td>5</td>
</tr>
<tr>
<td>Decrease to 20 µg/m³</td>
<td>13</td>
<td>3</td>
</tr>
</tbody>
</table>

Figure 7 – Potential benefits of reducing annual PM10 levels on mortality and on hospitalizations
1.4.2. Short-term impacts of ozone

For ozone, WHO set two guideline values for daily the maximum 8-hours mean. The interim target value (WHO-IT1) is set at 160 µg/m$^3$. The purpose of the interim value is to define steps in the progressive reduction of air pollution in the most polluted areas. The second value, the air quality guideline value (WHO-AQG) is set at 100 µg/m$^3$.

We first considered a scenario where all daily values above 160 µg/m$^3$ were reduced to WHO-IT (160 µg/m$^3$), then a scenario where all daily values above 100 µg/m$^3$ were reduced to WHO-AQG (100 µg/m$^3$), and lastly a scenario where the daily mean is decreased by 5 µg/m$^3$.

Ozone values were below 160 µg/m$^3$ except for one day during the study period. Decreasing values above 100 µg/m$^3$ to 100 µg/m$^3$ would postpone 2 deaths and 1 hospitalization for respiratory diseases for people older than 65.

Reducing all concentrations by 5 µg/m$^3$, would postpone 5 deaths, 1 hospitalizations for respiratory diseases for people between 15 to 64 year and 4 hospitalizations for respiratory diseases for people older than 65.

| Table 6 – Potential benefits of reducing daily ozone levels on total non-external* mortality |
|---------------------------------|---------------------------------|---------------------------------|
| **Scenarios**                  | **Total annual number of deaths postponed** | **Annual number of deaths postponed per 100 000 inhabitants** |
| 8h max daily values >160 µg/m$^3$ = 160 µg/m$^3$ | 0 | 0 |
| 8h max daily values >100 µg/m$^3$ = 100 µg/m$^3$ | 2 | 0.4 |
| Decrease by 5 µg/m$^3$ | 5 | 1 |

* Non-external mortality excludes violent deaths such as injuries, suicides, homicides, or accidents.

| Table 7 – Potential benefits of reducing daily ozone levels on hospitalizations |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| **Scenarios**                  | **Respiratory hospitalizations (15-64)** | **Respiratory hospitalizations (>64)** |
|                                | **Total annual number of cases postponed** | **Annual number of cases postponed per 100 000 inhabitants** | **Total annual number of cases postponed** | **Annual number of cases postponed per 100 000 inhabitants** |
| 8h max daily values >160 µg/m$^3$ = 160 µg/m$^3$ | 0 | 0 | 0 | 0 |
| 8h max daily values >100 µg/m$^3$ = 100 µg/m$^3$ | 0.2 | 0.1 | 1 | 2 |
| Decrease by 5 µg/m$^3$ | 1 | 0.2 | 4 | 6 |
1.4.3. Long-term impacts of PM2.5

For PM2.5, we first considered a scenario where the PM2.5 annual mean is decreased by 5 µg/m³, and then a scenario where the PM2.5 annual mean is decreased to 10 µg/m³ (WHO AQG).

Decreasing concentrations by 5 µg/m³ would postpone 106 deaths, and 57 deaths for cardiovascular causes. This corresponds to a gain in life expectancy of 0.4 years per inhabitants.

Decreasing concentrations to 10 µg/m³ would postpone 111 deaths, and 60 deaths for cardiovascular causes. This corresponds to a gain in life expectancy of 0.4 years per inhabitants.

Table 7 – Potential benefits of reducing annual PM2.5 levels on total non-external* mortality and on life expectancy

<table>
<thead>
<tr>
<th>Scenarios</th>
<th>Total annual number of deaths postponed</th>
<th>Annual number of deaths postponed per 100 000 inhabitants</th>
<th>Gain in life expectancy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decrease by 5 µg/m³</td>
<td>106</td>
<td>40</td>
<td>0.4</td>
</tr>
<tr>
<td>Decrease to 10 µg/m³</td>
<td>111</td>
<td>43</td>
<td>0.4</td>
</tr>
</tbody>
</table>

* Non-external mortality excludes violent deaths such as injuries, suicides, homicides, or accidents.
Table 8 – Potential benefits of reducing annual PM2.5 levels on total cardiovascular mortality

<table>
<thead>
<tr>
<th>Scenarios</th>
<th>Total annual number of deaths postponed</th>
<th>Annual number of deaths postponed per 100 000 inhabitants</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decrease by 5 µg/m³</td>
<td>57</td>
<td>22</td>
</tr>
<tr>
<td>Decrease to 10 µg/m³</td>
<td>60</td>
<td>23</td>
</tr>
</tbody>
</table>

Figure 9 – Potential benefits of reducing annual PM2.5 levels on mortality

Figure 10 – Potential benefits of reducing annual PM2.5 levels on life expectancy
1.4.4. Economic valuation

These HIAs provide short- and long-term potential benefits on mortality of reducing air pollution as well as the short-term potential benefits on hospitalizations.

Mortality

The monetary values chosen to assess mortality benefits are going to differ depending on the short- or long-term nature of the exposure to air pollution (see Appendix 2).

For short-term impacts, the monetary value of € 86,600 was chosen for each death. Decreasing PM10 concentration by 5 µg/m$^3$ would then correspond to a saving of € 866 000. Decreasing PM10 concentrations to 20 µg/m$^3$ would correspond to a saving of € 433 000. Decreasing ozone concentrations above 100 µg/m$^3$ to 100 µg/m$^3$ would save € 173 200.

For long-term impacts, the monetary value of € 1,655,000 was chosen for each death. Decreasing PM2.5 concentrations by 5 µg/m$^3$ would then correspond to a saving of € 175 430 000. Decreasing PM2.5 concentrations to 10 µg/m$^3$ would correspond to a saving of € 183 705 000. Taking into account the gain in life expectancy would correspond to a saving of € 185 917 452 for the first scenario, and € 96 246 200 for the second.

NOTE: the valuation of mortality benefits is based on stated preferences studies and will use common values for all cities together. Indeed, accounting for differences in country’s GNP per capita seems ethically unacceptable to stand for the valuation of life benefits.

Hospitalizations

The standard cost of illness approach is used for short-term hospitalizations, and consists in applying unit economic values to each case, including direct and indirect costs.

The method is detailed on Appendix 2. Considering that an hospitalizations costs € 3 777 the savings would be of € 147 303 when reducing PM10 concentrations by 5 µg/m$^3$ and of € 79 317 when reducing PM10 concentrations to 20 µg/m$^3$. The gain associated to a reduction of ozone levels exceeding 100 µg/m$^3$ would be of € 3 777.

1.4.5. Interpretation of findings

Mortality data are highly reliable, and therefore do not represent a major source of uncertainty for the results of the present HIAs. On the contrary, hospital admission data present a major source of uncertainty because they include both emergency hospital admissions and planned hospital admissions that are certainly not temporally linked with the levels of air pollution. In consequence, the numbers of attributable hospital admissions are certainly over-estimated.

A significant health gain would be achieved by lowering the PM concentrations. The compliance with the WHO-AQG for PM10 (20µg/m$^3$) would induce a large benefits on mortality and hospital admissions (5 deaths and 21 hospital admissions and avoided per year). The associated monetary gain would be of more than € 500 000.

Lowering PM2.5 would have a higher impact of the quality of life. Compliance with the WHO-AQG of 10 µg/m$^3$ would postpone 111 deaths, corresponding to a gain in life expectancy of 0.4 years per inhabitants. Considering the reduced mortality, the associated monetary gain would exceed €180 million.

The results from the present HIAs may help promoting measures aiming at reducing air pollutant emissions, especially traffic linked emissions, as health benefits are a powerful way of motivating changes in individuals comportments.
Section 2. Health Impacts and Policy: Novel Approaches

Pollutants such as ultrafine particles occur in high concentrations along streets and roads carrying heavy traffic. And evidence is growing that living near such streets and roads may have serious health effects, particularly on the development of chronic diseases. Until now, however, HIAs have not explicitly incorporated this factor.

For this purpose, Aphekom has applied innovative HIA methods to take into account the additional long-term impact on the development of chronic diseases from living near busy roads. We also evaluated the monetary costs associated with this impact.

We first determined that, on average, over 50 percent of the population in the 10 European cities studied lives within 150 metres of roads travelled by 10,000 or more vehicles per day and could thus be exposed to substantial levels of toxic pollutants.

<table>
<thead>
<tr>
<th>City</th>
<th>Population (Million. Hab)</th>
<th>PM\textsubscript{2.5} annual average (ug/m\textsuperscript{3})</th>
<th>% population within 75m (average 29%)</th>
<th>% population within 150m (average 52%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Granada</td>
<td>0.24</td>
<td>34</td>
<td>14%</td>
<td>28%</td>
</tr>
<tr>
<td>Ljubljana</td>
<td>0.27</td>
<td>32</td>
<td>23%</td>
<td>47%</td>
</tr>
<tr>
<td>Bilbao</td>
<td>0.31</td>
<td>27</td>
<td>29%</td>
<td>59%</td>
</tr>
<tr>
<td>Sevilla</td>
<td>0.7</td>
<td>41</td>
<td>20%</td>
<td>38%</td>
</tr>
<tr>
<td>Valencia</td>
<td>0.74</td>
<td>46</td>
<td>44%</td>
<td>71%</td>
</tr>
<tr>
<td>Brussels</td>
<td>1.03</td>
<td>29</td>
<td>37%</td>
<td>64%</td>
</tr>
<tr>
<td>Stockholm</td>
<td>1.3</td>
<td>17</td>
<td>14%</td>
<td>30%</td>
</tr>
<tr>
<td>Barcelona</td>
<td>1.53</td>
<td>33</td>
<td>56%</td>
<td>77%</td>
</tr>
<tr>
<td>Vienna</td>
<td>1.66</td>
<td>25</td>
<td>36%</td>
<td>62%</td>
</tr>
<tr>
<td>Rome</td>
<td>2.81</td>
<td>37</td>
<td>22%</td>
<td>43%</td>
</tr>
</tbody>
</table>

Figure 11 — Estimated percentage of people leaving near busy roads

In the cities studied, our HIA showed that living near these roads could be responsible for some 15-30 percent of all new cases of: asthma in children; and of COPD (chronic obstructive pulmonary disease) and CHD (coronary heart disease) in adults 65 years of age and older.
Figure 12 – Percentage of population with chronic diseases whose disease is attributable to living near busy streets and roads in 10 Aphekom cities

Aphekom further estimated that, on average for all 10 cities studied, 15-30 percent of exacerbations of asthma in children, acute worsening of COPD and acute CHD problems in adults are attributable to air pollution. This burden is substantially larger than previous estimates of exacerbations of chronic diseases, since it has been ignored so far that air pollution may cause the underlying chronic disease as well.

Figure 13 – Comparison of impact of air pollution on chronic diseases calculated using two different HIA approaches in Aphekom
In addition, for the population studied Aphekom estimated an economic burden of more than €300 million every year attributable to chronic diseases caused by living near heavy traffic. This burden is to be added to some €10 million attributable to exacerbations of these diseases.

The economic valuation is not sufficiently robust at the city level from a HIA as well as an economic perspective to allow for local computations.

Section 3. Health Impacts of Implemented Policies in Air Pollution

As part of the work of the Aphekom WP6 an extensive review of the scientific literature on interventions, both legislative and coincidental which have resulted in reductions in air pollution, was conducted. This review shows that air pollution interventions have been successful at reducing air pollution levels. It has also shown that there is consistent (significant) published evidence that most of these interventions have been associated with health benefits, mostly by way of reduced cardiovascular or respiratory mortality and or morbidity. Throughout the majority of reviewed interventions the found decrease in mortality exceeded by far the expected predicted figures based on observations European multiplicity studies. This provides an informed scientific basis for decision and policy makers.

In addition to that, Aphekom WP6 investigated the effects of EU legislation to reduce the sulphur content of fuels (mainly diesel oil used by diesel vehicles, shipping and home heating). In detail the effect on air pollution levels of the implementation of the Council Directive 93/12/EEC and its amended version Council Directive 1999/32/EC including marine oils were analysed. The implementation of the two Council Directives encompassed three stages of implementation gradually reducing the sulphur content in certain fuels in the EU member states with stage (I) being implemented as laid down in the directive on 1st Oct. 1994, stage (II) on 1st Oct. 1996 and stage (III) on 1st July 2000. This analysis showed not only a marked, sustained reduction in ambient SO$_2$ levels, but also saved 2212 lives from all-cause mortality, 153 lives from respiratory-cause and 1312 lives from cardiovascular-cause mortality per year attributable to reduced ambient SO$_2$ for 20 European cities, spread all across Europe, from the year 2000 onwards compared to the baseline period with no directive being implemented.

Air quality analysis

The general decreasing trend in daily urban background (UB) SO$_2$ concentrations that has been observed across all centres (except the French centres excluding Paris) over the time period of the study is illustrated in Figure 14 Overall there was no clear step change in SO$_2$ concentrations after implementation of the Directives; rather a gradual decline in SO$_2$ levels was observed. Furthermore city specific observations for Rouen of decreasing UB SO$_2$ levels are presented in Figure 15 showing seasonal averages of UB SO$_2$. 
Figure 14 — Plot of yearly urban background SO\textsubscript{2} averages for 13 Aphekom cities from 1990 to 2004.

Figure 13: Plot of seasonal urban background SO\textsubscript{2} averages for Rouen from 1990 – 2001.
A rather abnormal peak of very high urban background SO\textsubscript{2} levels was observed simultaneously in a number of centres in the winters of 1995/6 and 1996/7. This does not mean that there are no outlying peaks now and then during the studied period in SO\textsubscript{2} levels for individual centres. The fact that those peaks were observed in many centres simultaneously and that individual levels were quite high compared to years before and after the observed peaks caught the attention of the WP6 team. Rouen observed slight peaks in the winter of 1996/7 and the winter in the following year.

Based on the feedback received from the individual centres the most likely reason for the observed peaks happening simultaneously in a number of cities was cold wave in the winter months with peaking SO\textsubscript{2} levels. This coincided with observation made for a number of cities analysing daily averaged temperature data that showed prolonged periods with peaks in minimum temperatures reached in this time period. These observed cold waves went with increased fuel usage due to the increased space heating and electricity usage and as well as inversion. Another possible factor contributing to the observed SO\textsubscript{2} peaks could be that countries used up old stockpiles of fuel that did not comply with the directives. That might have happened independently from the cold wave or due to the fuel shortage during the prolonged cold weather.

\textit{Time-series analysis}

It has to be noted that not all countries with collaborating cities have complied with the implementation dates laid down in the Council Directives due to various reasons, e.g. local derogations sought etc., and thus the implementation dates and the number of stages implemented are not all the same. Therefore the 14 centres including Athens, Bordeaux, Brussels, Dublin, Le Havre, Lille, London, Lyon, Marseille, Paris, Rome, Rouen, Stockholm and Strasbourg that implemented all three stages of the Council Directives were analysed separately.

The health data analysis showed no evidence of change of slope in the dose-response curve after implementation of the legislations and hence observed effects were related to level changes.

The implementation of the first stage in 1994 reduced annual deaths by 639 deaths from all causes, by 47 deaths from respiratory and by 361 deaths from cardiovascular causes compared to the baseline period prior to October 1994 with no directive being implemented.

The implementation of the 2\textsuperscript{nd} stage in 1996 reduced annual deaths by 1093 deaths from all causes, by 83 deaths from respiratory and by 610 deaths from cardiovascular causes compared to the baseline period with no directive being implemented.

The implementation of the 3\textsuperscript{rd} stage in 2000 reduced annual deaths by 1616 deaths from all causes, by 127 deaths from respiratory and by 889 deaths from cardiovascular causes compared to the baseline period with no directive being implemented.

On a city specific level for Rouen the implementation of the first stage in 1994 reduced annual deaths by 23 deaths from all causes, by 2 deaths from respiratory and by 10 deaths from cardiovascular causes compared to the baseline period prior to October 1994 with no directive being implemented.

The implementation of the 2\textsuperscript{nd} stage in 1996 reduced annual deaths by 31 deaths from all causes, by 2 deaths from respiratory and by 14 deaths from cardiovascular causes compared to the baseline period with no directive being implemented.

The implementation of the 3\textsuperscript{rd} stage in 2000 reduced annual deaths by 46 deaths from all causes, by 3 deaths from respiratory and by 21 deaths from cardiovascular causes compared to the baseline period with no directive being implemented.

As a result on a city specific level for Rouen (summarized in Table 1) and overall for the 14 cities that implemented all 3 stages of the fuel legislation it was found that the efficiency/effectiveness/impact of the legislation based on lives saved, if we didn't apply any regulation, increased throughout the different stages of implementation overtime with more lives being saved after implementation of the 2\textsuperscript{nd} stage of implementation compared to the first stage and with more lives being saved after implementation of the 3\textsuperscript{rd} stage of implementation compared to the 2\textsuperscript{nd} one.
Table 10: Summary of lives saved per implementation stage (1-3)/intervention (and 95% Confidence Intervals) per year in Lyon for different mortality groups compared the baseline period (<01.10.1994) with no legislation implemented

<table>
<thead>
<tr>
<th>Time period</th>
<th>All cause mortality cases per year</th>
<th>95 CI -</th>
<th>95 CI +</th>
<th>Respiratory mortality cases per year</th>
<th>95 CI -</th>
<th>95 CI +</th>
<th>Cardiovascular Mortality cases per year</th>
<th>95 CI -</th>
<th>95 CI +</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stage 1</td>
<td>[≥ 01.10.1994 and &lt;01.10.1996]</td>
<td>23</td>
<td>8</td>
<td>38</td>
<td>2</td>
<td>-1</td>
<td>4</td>
<td>10</td>
<td>3</td>
</tr>
<tr>
<td>Stage 2</td>
<td>[≥ 01.07.2000 and &lt;01.07.2000]</td>
<td>31</td>
<td>11</td>
<td>51</td>
<td>2</td>
<td>-1</td>
<td>5</td>
<td>14</td>
<td>4</td>
</tr>
<tr>
<td>Stage 3</td>
<td>[≥ 01.07.2000]</td>
<td>46</td>
<td>16</td>
<td>76</td>
<td>3</td>
<td>-1</td>
<td>8</td>
<td>21</td>
<td>6</td>
</tr>
</tbody>
</table>

Section 4. Sharing Knowledge and Uncertainties with Stakeholders

To help decision makers draft policies on air quality and related environmental-health issues, Aphekom has developed a process, based on a deliberation-support tool, that helps frame and structure exchanges between stakeholders involved in developing policy options. Using this process enables them to propose and discuss multiple criteria for evaluating, prioritising and aligning their various needs, and for choosing actions that match their objectives and preferences.

This type of multi-criteria assessment enables highlighting divergences of opinion, focusing discussions on critical points and bridging differences among stakeholders from differing backgrounds. As a result, this process facilitates both communication and decision making.

To test use of the process and tool, Aphekom conducted two case studies in Brussels and in Paris during the development of local air-quality action plans. The case studies demonstrated the ability of the method and tools to structure discussions and highlight differing views, as confirmed by participants' satisfaction with their use.

We also developed an online tool to familiarize users with the deliberation-support process used in the case studies and to enable them to create their own deliberative forums http://aphekom.kertechno.net/.

Section 5. Overview of findings and local recommendations

The specific health impact assessment for Rouen found that a significant health gain would be achieved by lowering the PM concentrations. The compliance with the WHO-AQG for PM10 (20µg/m³) would induce a large benefits on mortality and hospital admissions (5 deaths and 21 hospital admissions and avoided per year). The associated monetary gain would be of more than € 500 000.

Lowering PM2.5 would have a higher impact. Compliance with the WHO-AQG of 10 µg/m³ would postpone 111 deaths, corresponding to a gain in life expectancy of 0.4 years per inhabitants. Considering the reduced mortality, the associated monetary gain would exceed € 180 million.

The results from the present HIAs may help promoting measures aiming at reducing air pollutant emissions, especially traffic linked emissions, as health benefits are a powerful way of motivating changes in individuals comportments. In the district of Haute-Normandie, reduce air pollution and health effects are of great concern. Many plan local plans (plan to protect the atmosphere) or regional (second environmental health regional Plan) recommended actions to reduce air pollution: the objective is to implement actions helping to reduce and to control the exposures of air pollutant having
a high impact on the health. The results of Aphekom would be an important information source for this plan in the area.

In addition, the Aphekom project was able to show that living near streets and roads carrying heavy traffic may have serious health effects, particularly on the development of chronic diseases. And Aphekom investigated the effects of EU legislation to reduce the sulphur content of fuels (mainly diesel oil used by diesel vehicles, shipping and home heating) showing in 20 cities not only a marked, sustained reduction in ambient SO2 levels but also the resulting prevention of some 2,200 premature deaths valued at €192 million.

Together these findings show that policies aimed at reducing air pollution would be associated with a significant improvement in the health status and quality of life of European citizens.

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Appendix 1 – Health impact assessment

For each specific relationship between health outcomes and pollutants, the health impact function was

$$\Delta y = y_0 \left(1 - e^{-\beta \Delta x}\right)$$

Where $\Delta y$ is the outcome of the HIA

$y_0$ is the baseline health data

$\Delta x$ is the decrease of the concentration defined by the scenario

$\beta$ is the coefficient of the concentration response function ($\beta = \log(RR \text{ per } 10 \, \mu g/m^3)/10$)

The impact of a decrease of the pollutant concentration on the life expectancy was computed using standard abridged (5-year age groups) life table methodology, using the mortality data for each age group. We applied a reduction factor to the mortality rate, noted $nD_x$, according to

$$nD_x^{impacted} = nD_x \times e^{-\beta \Delta x}$$

$\Delta x$ is the decrease of the concentration defined by the scenario

$\beta$ is the coefficient of the concentration response function.

Concentration response functions (CRFs) were selected from the literature, favouring multi-cities studies located in Europe (Table 1).

<table>
<thead>
<tr>
<th>HIA</th>
<th>Health outcome</th>
<th>Ages</th>
<th>RR per 10 µg/m³</th>
<th>Ref</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Short-term impacts of PM10</strong></td>
<td>Non-external mortality</td>
<td>All</td>
<td>1.006 [1.004-1.008]</td>
<td>(4)</td>
</tr>
<tr>
<td></td>
<td>Respiratory hospitalizations</td>
<td>All</td>
<td>1.0114 [1.0062-1.0167]</td>
<td>(5)</td>
</tr>
<tr>
<td></td>
<td>Cardiac hospitalizations</td>
<td>All</td>
<td>1.006 [1.003-1.009]</td>
<td>(5)</td>
</tr>
<tr>
<td><strong>Short-term impacts of O₃</strong></td>
<td>Non-external mortality</td>
<td>All</td>
<td>1.0031 [1.0017-1.0052]</td>
<td>(6)</td>
</tr>
<tr>
<td></td>
<td>Respiratory hospitalizations</td>
<td>15-64</td>
<td>1.001 [0.991-1.012]</td>
<td>(4)</td>
</tr>
<tr>
<td></td>
<td>Respiratory hospitalizations</td>
<td>&gt;=65</td>
<td>1.005 [0.998-1.012]</td>
<td>(4)</td>
</tr>
<tr>
<td><strong>Long-term impacts of PM2.5</strong></td>
<td>Total mortality</td>
<td>&gt;30</td>
<td>1.06 [1.02-1.11]</td>
<td>(7)</td>
</tr>
<tr>
<td></td>
<td>Cardiovascular mortality</td>
<td>&gt;30</td>
<td>1.12 [1.08-1.15]</td>
<td>(8)</td>
</tr>
</tbody>
</table>
**PM10**

For PM10, we first considered a scenario where the annual mean of PM10 is decreased by 5 µg/m³, and then a scenario where the same PM10 annual mean is decreased to 20 µg/m³, the WHO air quality guideline (WHO-AQG).

The exposure indicator of PM10 was the annual mean, calculated as the arithmetic mean of the daily concentrations of the selected stations. The corresponding \( \Delta x \) for the two scenarios are:

- **Scenario 1**, \( \Delta x = 5 \text{ µg/m}^3 \)
- **Scenario 2**, \( \Delta x = ([\text{PM10}]_{\text{mean}} - 20 \text{ µg/m}^3) \)
  \( \Delta x = 0 \) if \([\text{PM10}]_{\text{mean}} < 20 \)

**Ozone**

For ozone, WHO set two values for the daily maximum 8-hours mean. The interim target value (WHO-IT1) is set at 160 µg/m³. The purpose of the interim value is to define steps in the progressive reduction of air pollution in the most polluted areas. The air quality guideline value (WHO-AQG) is set at 100 µg/m³.

We first considered a scenario where all daily values above 160 µg/m³ were reduced to WHO-IT (160 µg/m³), then a scenario where all daily values above 100 µg/m³ were reduced to WHO-AQG (100 µg/m³), and lastly a scenario where the daily mean is decreased by 5 µg/m³.

The exposure indicator of ozone was the cumulated sum over defined thresholds, calculated using 8hours-daily values.

The corresponding \( \Delta x \) for the two scenarios are:

- **Scenario 1**, if \([\text{O}_3]_i \geq 160 \text{ µg/m}^3\), \( O_i = ([\text{O}_3]_i - 160) \)
  if \([\text{O}_3]_i < 160 \text{ µg/m}^3\), \( O_i = 0 \)
- **Scenario 2**, if \([\text{O}_3]_i \geq 100 \text{ µg/m}^3\), \( O_i = ([\text{O}_3]_i - 100) \)
  if \([\text{O}_3]_i < 100 \text{ µg/m}^3\), \( O_i = 0 \)
- **Scenario 3**, where the ozone yearly mean is decreased by 5 µg/m³, \( \Delta x = 5 \text{ µg/m}^3 \)

**PM2.5**

For PM2.5, we first considered a scenario where the PM2.5 annual mean is decreased by 5 µg/m³, and then a scenario where the PM2.5 annual mean is decreased to 10 µg/m³ (WHO annual AQG).

The exposure indicator of PM2.5 was the yearly mean, calculated as the arithmetic mean of the daily concentrations of the selected stations. The corresponding \( \Delta x \) for the two scenarios are:

- **Scenario 1**, \( \Delta x = 5 \text{ µg/m}^3 \)
- **Scenario 2**, \( \Delta x = ([\text{PM2.5}]_{\text{mean}} - 10 \text{ µg/m}^3) \)
  \( \Delta x = 0 \) if \([\text{PM2.5}]_{\text{mean}} < 10 \)
References


Appendix 2 – Economic valuation

Because the air pollution measures as well as epidemiologic data cover the 2004-2006 period for most of the cities, all costs are consequently expressed in euros 2005. Similarly, the average lengths of stay in hospital required for the benefits computations are for 2005.

Valuation of mortality benefits

Regarding mortality, we follow the standard valuation procedure adopted in Cafe (2005), NexExt (2003), ExternE (2000), which consists in using a Value of a Statistical Life (VSL) and a Value of a Life Year (VOLY) derived from stated preferences surveys, hence relying on preference-derived values rather than market-derived values. We chose to rely on values obtained in recent European studies (see final Aphekom report for more details).

The choice of the monetary value to assess mortality benefits associated to a decrease in air pollution level depends on the type of impact.

- **For short-term mortality calculations**, the annual number of deaths postponed per year is used. Because the gains in life expectancy corresponding to each of these postponed deaths can be considered in the range of a few months, certainly lower than one year (Cafe 2005, Vol 2, p. 46), a VOLY of €86,600 is applied to each deaths postponed to compute annual benefits.

- **For long-term mortality calculations**, the magnitude of the gain in life expectancy related to the deaths postponed is considered as higher than a year (see Ezzati et al., 2002; Hurley et al. 2005; Watkiss et al. 2005; or Janke et al., 2009). A VSL of €1,655,000 is applied to each deaths postponed to compute annual benefits.

- **For long-term life expectancy calculations**, an average gain in life expectancy for persons 30 years of age is also computed using life tables and following a cohort until complete extinction. The annual corresponding benefits are obtained by multiplying the average gain in life expectancy by the number of 30-year-old individuals in the city, and by the VOLY. This corresponds to the benefits (in terms of life expectancy) 30 year-old people would gain over their lifetime if exposed to the 10 µg/m³ average annual level of PM2.5 (WHO’s Air Quality Guideline) instead of the current existing air pollution level in the city.

Valuation of hospitalizations benefits

The standard cost of illness approach is used for acute hospitalizations, and consists in applying unit economic values approach to each case, including direct medical and indirect costs.

The **direct medical costs** related to cardiac and respiratory hospitalizations are computed as the cost per inpatient day times the average length of stay in hospital. These cost data are taken from CEC (2008) for all twelve countries where the cities analysed in Aphekom are located (see Table 12). The average lengths of stay in days are obtained from the OECD Health Database (2010) for all countries except Romania (which is imputed from the population weighted average lengths of the 11 other countries).

The **indirect costs** are computed as the average gross loss of production per day times twice the average length of stay in hospital. Since we cannot control whether these days were actual working days, we then compute the daily loss of production as the average gross earnings in industry and services (full employment) obtained from Eurostat (2003) for each country, expressed in 2005 and divided by 365 days.

The total medical costs for cardiac and respiratory hospitalizations are obtained by adding together the direct and indirect components.
Table 12: Average lengths of stay, daily hospitalization costs and work loss, and total hospitalization costs per patient.

<table>
<thead>
<tr>
<th>Country</th>
<th>Circulatory system</th>
<th>Respiratory system</th>
<th>Average length of stay in days&lt;sup&gt;(a)&lt;/sup&gt;</th>
<th>Average cost per day (€ 2005)</th>
<th>Total costs related to hospitalization (€ 2005)</th>
<th>Circulatory system</th>
<th>Respiratory system</th>
</tr>
</thead>
<tbody>
<tr>
<td>Austria</td>
<td>8.2</td>
<td>6.6</td>
<td>319</td>
<td>83</td>
<td>3,977</td>
<td>3,201</td>
<td></td>
</tr>
<tr>
<td>Belgium</td>
<td>9.2</td>
<td>8.8</td>
<td>351</td>
<td>98</td>
<td>5,032</td>
<td>4,814</td>
<td></td>
</tr>
<tr>
<td>France</td>
<td>7.1</td>
<td>7.1</td>
<td>366</td>
<td>83</td>
<td>3,777</td>
<td>3,777</td>
<td></td>
</tr>
<tr>
<td>Greece</td>
<td>7.0</td>
<td>5.0</td>
<td>389</td>
<td>48</td>
<td>3,395</td>
<td>2,425</td>
<td></td>
</tr>
<tr>
<td>Hungary</td>
<td>7.4</td>
<td>6.5</td>
<td>59</td>
<td>18</td>
<td>703</td>
<td>618</td>
<td></td>
</tr>
<tr>
<td>Ireland</td>
<td>10.5</td>
<td>6.9</td>
<td>349</td>
<td>81</td>
<td>5,366</td>
<td>3,526</td>
<td></td>
</tr>
<tr>
<td>Italy</td>
<td>7.7</td>
<td>8.0</td>
<td>379</td>
<td>62</td>
<td>3,873</td>
<td>4,024</td>
<td></td>
</tr>
<tr>
<td>Romania</td>
<td>8.5&lt;sup&gt;(d)&lt;/sup&gt;</td>
<td>7.4&lt;sup&gt;(d)&lt;/sup&gt;</td>
<td>57</td>
<td>511</td>
<td>587</td>
<td>511</td>
<td></td>
</tr>
<tr>
<td>Slovenia</td>
<td>8.6</td>
<td>7.3</td>
<td>240</td>
<td>34</td>
<td>2,649</td>
<td>2,248</td>
<td></td>
</tr>
<tr>
<td>Spain</td>
<td>8.5</td>
<td>7.4</td>
<td>321</td>
<td>55</td>
<td>3,664</td>
<td>3,189</td>
<td></td>
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<td>Sweden</td>
<td>6</td>
<td>5.2</td>
<td>427</td>
<td>92</td>
<td>3,666</td>
<td>3,177</td>
<td></td>
</tr>
<tr>
<td>United Kingdom</td>
<td>11.4</td>
<td>8.0</td>
<td>581</td>
<td>116</td>
<td>9,268</td>
<td>6,504</td>
<td></td>
</tr>
<tr>
<td>Mean&lt;sup&gt;(d)&lt;/sup&gt;</td>
<td>8.5</td>
<td>7.4</td>
<td>373</td>
<td>73</td>
<td>4,411</td>
<td>3,840</td>
<td></td>
</tr>
</tbody>
</table>

Sources: <sup>(a)</sup> OECD Health Data (2010); <sup>(b)</sup> CEC (2008), annex 7, cost/bed/day corr; <sup>(c)</sup> Eurostat (2003); <sup>(d)</sup> population-weighted average, 2005 population data from OECD Health Data (2010).

For instance, based on Table 12, the average direct cost of a cardiac hospital admission is:

8.5 days x € 373 = € 3,171

and the corresponding indirect cost related to work loss is:

2 x 8.5 days x € 73 = € 1,241.

Overall, the unit economic value related to a cardiac hospital admission is € 4,412.

For city-specific valuation, the last two columns of Table 12 provide average hospitalization costs computed following the same rationale but using country-specific average lengths of stay, cost per day of hospitalization and daily work loss.

Valuation of the benefits of EU legislation to reduce the sulphur content of fuels

The legislation has two potential effects on mortality: short-term and long-term. It has been decided that, to take a conservative standpoint, mortality effects will be considered as short-term effects. Consequently, a VOLY of €86,600 is applied to each premature death to compute the benefits of the legislation. The economic evaluation thus constitutes a lower bound of the mortality benefits of the legislation.

References


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